

OMB APPROVAL	
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>SULLIVAN JOHN L III</u>  (Last) (First) (Middle) <u>112 BRIDGE STREET</u>  (Street) <u>NAUGATUCK CT 06770</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>EASTERN CO [ EML ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Vice President &amp; CFO</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>02/04/2021</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock <sup>(1)</sup>	02/04/2021		L		8	A	\$24.51	31,097	D	
Class A Common Stock <sup>(1)</sup>	03/05/2021		L		7	A	\$26.82	31,104	D	
Class A Common Stock <sup>(2)</sup>	03/15/2021		P	V	104	A	\$26.51	31,208	D	
Class A Common Stock <sup>(1)</sup>	04/06/2021		L		9	A	\$27.51	31,217	D	
Class A Common Stock <sup>(1)</sup>	05/05/2021		L		7	A	\$29.29	31,224	D	
Class A Common Stock <sup>(3)</sup>	05/10/2021		M		8,333	A	\$19.1	39,557	D	
Class A Common Stock <sup>(3)</sup>	05/10/2021		D		5,100	D	\$31.21	34,457	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Stock Appreciation Rights	\$19.1	05/10/2021		M		8,333		02/01/2020	03/02/2022	Common Stock	8,333	\$0	0	D	
Stock Appreciation Rights	\$24.9	04/27/2021		A		10,000		02/01/2021	02/07/2023	Common Stock	10,000	\$0	10,000	D	

**Explanation of Responses:**

- Represents shares acquired by the reporting person under The Eastern Company Investors Choice Dividend Reinvestment and Direct Stock Purchase Sale Plan that are eligible for deferred reporting pursuant to Rule 16a-6 under the Securities Exchange Act of 1934, as amended.
- Represents shares acquired by the reporting person through the reinvestment of dividends under The Eastern Company Investors Choice Dividend Reinvestment and Direct Stock Purchase Sale Plan.
- The reporting person received 3,233 shares of common stock upon the net exercise of 8,333 stock appreciation rights (SARs). A total of 5,100 shares of common stock underlying such SARs were withheld in the payment of the exercise price and to satisfy the withholding tax obligation resulting from the exercise.

/s/John L. Sullivan III 05/20/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.